





**1 Context**

## 2 Motivating Case Study

## 3 Method

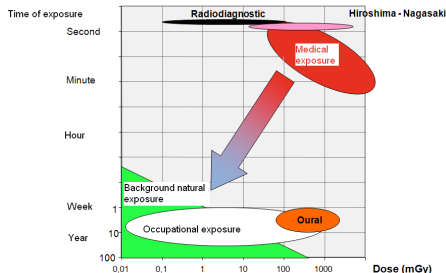
## 4 Results

## 5 Discussion



## An important topic for radiation protection...

- **At acute, medium-to-high level, external exposure to IR**, excess risk of leukemia, breast, lung and thyroid cancer are clearly demonstrated
- The excess risk of cancer diseases increases with the dose
- Latency minimal period from a few years to decades



May chronic exposure to low doses rate of IR result in adverse health effects?

Only a few results at low doses rates of IR :

- Lung cancer following radon inhalation (Darby et al., 2005 ; IARC, 2001)
- Excess risk of leukemia among children exposed *in-utero* (>10 mSv)
- **BUT mixed findings** for other potential health effects at doses <100 mSv.

## Limits of current epidemiological studies

- Latency minimal period from IR exposure to cancer occurrence from a few years to decades
  - Suboptimal designs (bias, non-observed confusing factors, ... )
- **Lack of statistical power** to detect some potential small health effects of IR at low dose rates

Additional well-designed epidemiological studies are on progress BUT :

- **Years to decades of observations** required to reach an adequate statistical power to detect such potential health impacts
  - **Some quicker replies** are legitimately called on the expected magnitude of a potential risk !
- **Alternative approach : Quantitative Risk Assessment (QRA)** (NRC, 2009)











# The multi-model inference approach - Walsh & Kaiser (2012)

- Walsh & Kaiser (2012) examine the impact of **combining models** for radiation-related leukemia risks assessments
- They have followed an influential work by Burnham & Anderson, (1998, 2004) → a frequentist model-averaging procedure based on **AIC weights**
- Considered as an objective basis for multimodel inference in many fields like epidemiology, biology and ecology (Zhang and Townsend (2009); Burnham et al. (2011); Walsh and Schneider (2013))

# The multi-model inference approach - Burnham & Anderson (2004)

- Let  $M_k$  ( $k = 1, \dots, K$ ) be  $K$  competing risk models considered, each one defined by a set of parameters  $\theta_k$ . and  $\Delta$  be a quantity of interest to estimate/predict and  $y$  the observed data.
- A model-averaged estimator of  $\Delta$  is given by :**

$$\widehat{\Delta} = \sum_{k=1}^K \widehat{\Delta}_k \omega_k$$

where  $\omega_k$  ( $k=1, \dots, K$ ) are the Akaike weights defined by :

$$\omega_k = \frac{\exp(-0.5(\Delta AIC_k))}{\sum_{j=1}^K \exp(-0.5(\Delta AIC_j))}$$

where

$$AIC_k = -2 \log[y|\theta_k] + 2p_k \quad n \gg p_k$$

$$\Delta AIC_k = AIC_k - AIC_{\min}$$

# The multi-model inference approach - Burnham & Anderson (2004)

Burnham & Anderson (2004) propose the following Bayesian interpretation of the AIC weights.

Let  $\pi_k$  be the prior probability placed on model  $M_k$ . Then the posterior probability for model  $M_k$  given data  $y$  is :

$$[M_k|y] \simeq \frac{\exp(-0.5(\Delta BIC_k))\pi_k}{\sum_{j=1}^K \exp(-0.5(\Delta BIC_j))\pi_j}$$

If the model prior probability  $\pi_k$  are proportional to

$$\exp(0.5(\Delta BIC_k))\exp(-0.5(\Delta AIC_k))$$

then

$$[M_k|y] \simeq \frac{\exp(-0.5(\Delta AIC_k))}{\sum_{j=1}^K \exp(-0.5(\Delta AIC_j))} = \omega_k$$

"...traditional Bayesian thinking about the prior distribution on models has been that  $\pi_k, k=1, \dots, K$  would also not depend on  $n$  or  $p_k$ . This approach is neither necessary nor reasonable." (Burnham & Anderson (2004))



- 1 Context
- 2 Motivating Case Study**
- 3 Method
- 4 Results
- 5 Discussion

# Natural background Ionizing Radiation and childhood leukemia (1)

- Natural Background Radiation (NBR) constitutes the major source of exposure to chronic IR for most of the world population (UNSCEAR, 2008)
- Three components contribute to 90% of the effective dose delivered
  - ▶ Radon gas ( $^{222}\text{Rn}$  and  $^{220}\text{Rn}$ ) and its decay products
  - ▶ Terrestrial gamma rays (TGR)
  - ▶ High energy cosmic ray particle

## NBR & childhood leukemia : Why is it an important topic ?

- During childhood, equivalent dose received by the red bone marrow (RBM) ranging from a few to several tens of mSv!!!
- Childhood leukemia
  - ▶ Relevant health indicator when studying the effects of NBR
  - ▶ Most strongly associated with exposure to external whole-body irradiation
  - ▶ Children more radiosensitive than adults (NRC, 2006)
- Childhood leukemia = the most frequent cancer in children but whose etiology remains widely unknown (Eden, 2010)







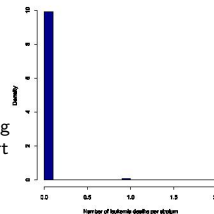
## Data on the target population (2)

Average red bone marrow doses (in mSv) received by fetuses, infants and children from radon, terrestrial gamma rays and cosmic rays in France

	Radon	Terrestrial gamma rays	Cosmic rays	All 3 exposures together
In utero (9 month)	0.03 <sup>a</sup>	0.33 <sup>c</sup>	0.19 <sup>c</sup>	0.55
Infant (first year of life <sup>e</sup> )	0.29 <sup>b</sup>	0.61 <sup>d</sup>	0.35 <sup>d</sup>	1.24
Child (yearly <sup>f</sup> )	0.34 <sup>b</sup>	0.55 <sup>d</sup>	0.31 <sup>d</sup>	1.21
Cumulated (in utero - 12.5 years)	4,40	7,54	4,26	<b>16,31</b>
% of cumulated dose (in both cases)	27	46	26	100

# Data on the evidentiary population

- Mortality dataset from the latest Life Span Study (LSS) cohort (provided by the **Radiation Effects Research Foundation, Hiroshima, Japan**)
  - ▶ 86,611 survivors of the atomic bombings of Hiroshima and Nagasaki over period 1950-2000
  - ▶ By the end of 2000, 284 had died from leukemia
  - ▶ Stratified data by city, sex, age at exposure, weighted colon dose category (in Sv), attained age, calendar time period . . . → **31,422 strata**
  - ▶ For each stratum :
    - Number of deaths due to leukemia
    - Number of person-years at risk
    - *PY*-weighted average age at exposure
    - *PY*-weighted average attained age
    - Estimated stratum-average RBM doses (in Sv) corresponding to the most recent dosimetric system available for the cohort (*DS02*, established in 2002)



# Radiation-related leukemia excess risk models : global feature

## Additive and Multiplicative risk models

Let  $Y_i$  be the number of leukemia deaths and  $PYR_i$  the associated number of persons-years at risk in stratum  $i$  of the LSS data .

$$Y_i \sim \text{Poisson}(PYR_i \times \lambda_{tot,i}^{LSS})$$

$$\lambda_{tot,i}^{LSS} = \begin{cases} \lambda_{0,\xi}^{LSS}(s_i, c_i, a_i, e_i) + EAR(d_i, s_i, c_i, a_i, e_i) \\ \lambda_{0,\xi}^{LSS}(s_i, c_i, a_i, e_i) \times (1 + ERR(d_i, s_i, c_i, a_i, e_i)) \end{cases}$$

$$ERR/EAR(d_i, s_i, c_i, a_i, e_i) = (\alpha d_i + \beta d_i^2) \exp(\gamma d_i) \omega_\mu(s_i, c_i, a_i, e_i)$$

- $\lambda_{0,\xi}^{LSS}(s_i, c_i, a_i, e_i)$  is the LSS baseline risk in the absence of exposure
- $EAR$  is the Excess Absolute Risk /  $ERR$  is the Excess Relative Risk
- Constraints must be assigned to the vector  $\theta$  of unknown parameters

# Considered radiation-related leukemia excess risk models

↪ 10 Poisson-disease models [sharing common features](#) have been found in the literature.

ERR models	Np	EAR models	Np
ERR.UNSCEAR (2006)	10	EAR.UNSCEAR (2006)	11
ERR.Little (2008)	11		
ERR.Littleexp (2008)	12	EAR.Littleexp (2008)	12
ERR.BEIR7 (2006)	20	EAR.BEIR7 (2006)	19
		EAR.Schneider (2009)	13
		EAR.Schneiderexp (2009)	14
		EAR.Preston (2004)	23

Np= Number of parameters

# How to assess the proportion of cases attributable to NBR in France ?

In case of ERR transfer from the evidentiary population to the target population :

$$h_{tot}^F[s, a, e, DNR(e)] = h_0^F(s, a) + \sum_{e=0.5}^{a-2} h_0^F(s, a) ERR(s, a, e, DNR(e))$$

$$AP_{NBR}[s, a, e, DNR(e)] = \frac{\sum_{e=0.5}^{a-2} ERR(s, a, e, DNR(e))}{1 + \sum_{e=0.5}^{a-2} ERR(s, a, e, DNR(e))}$$

In case of EAR transfer from the evidentiary population to the target population :

$$h_{tot}^F[s, a, e, DNR(e)] = h_0^F(s, a) + \sum_{e=0.5}^{a-2} EAR(s, a, e, DNR(e))$$

$$AP_{NBR}[s, a, e, DNR(e)] = \frac{\sum_{e=0.5}^{a-2} EAR(s, a, e, DNR(e))}{h_{tot}^F[s, a, e, DNR(e)]}$$

Remark : Risk-free period (lag) of 2 years following exposure





# Bayesian Model Averaging

- Let  $M_k$  ( $k = 1, \dots, K$ ) be the  $K$  competing risk models considered, each one defined by a set of parameters  $\theta_k$ . Let  $\Delta$  be a quantity of interest (e.g., the percentage of leukemia cases attributable to NBR) to estimate/predict. **One main equation** :

$$[\Delta|y] = \sum_{k=1}^K [\Delta(\theta_k)|y, M_k] \omega_k$$

where  $\omega_k$  is the posterior probability for model  $M_k$  given data  $y$  :

$$\omega_k = [M_k|y] = \frac{[y|M_k][M_k]}{\sum_{l=1}^K [y|M_l][M_l]}$$

- Remark** : Relies on the assumption that  $\Delta(\theta_k)$  is *transferrable* across models

# Importance Sampling : Why ?

First tested approach :

- $[\Delta(\theta_k)|y, M_k]$  sampled using MCMC algorithms implemented in OpenBUGS
- $ML_k := [y|M_k]$  estimated using posterior-guided Importance Sampling (IS)

$$\widehat{ML}_k = \frac{1}{N} \sum_{i=1}^N [y|\theta_k^{(i)}, M_k] \frac{[\theta_k^{(i)}|M_k]}{g(\theta_k^{(i)})} \quad \theta_k^{(i)} \sim i.i.d \ g(\theta_k^{(i)})$$

**IS function g** : We propose a **product of univariate scaled noncentral Students distributions** fitted to the posterior samples.

- Due to high within-chain autocorrelations and large dataset ( $\geq 30\,000$  observations), approach is very computationally expensive! ( $\approx 2$  days per model)
- ↪ Importance sampling enables to sample from posterior distribution **and** compute marginal likelihood all at once!



# Importance Sampling Resampling

- Posterior distribution  $[\theta_k^{(i)} | y, M_k]$  is approximated by :  $\frac{\sum_{i=1}^N \tilde{w}_k^{(i)} \delta_{\theta_k^{(i)}}}{\sum_{i=1}^N \tilde{w}_k^{(i)}}$  , where  $\delta_{\theta_k^{(i)}}$  is the Dirac mass in  $\theta_k^{(i)}$
- ↪ Approximate posterior sample can be obtained by resampling the  $\theta_k^{(i)}$  with probability  $w_k^{(i)} = \frac{\tilde{w}_k^{(i)}}{\sum_{j=1}^n \tilde{w}_k^{(j)}}$  (normalized importance weight)
- Quality of the importance sampling algorithm can be monitored by :
  - ▶ Equivalent Sample Size (Liu, 2001 ; Del Moral, 2004) :

$$ESS = \left( \sum_{i=1}^N w_k^{(i)2} \right)^{-1}$$

- ▶ Approximate weight variation coefficient (Oh and Berger, 1989) :

$$cv = \frac{\text{var}(\tilde{w}_k)}{N \text{mean}(\tilde{w}_k)^2}$$

# Adaptive Importance sampling

- In practice, choosing a 'good' importance distribution  $g(\theta_k)$  is a key issue
- **Idea** : Run Importance sampling iteratively to continually update  $g(\theta_k)$
- Following (Oh and Berger, 1989), we perform the following steps :

- 1 Set  $t = 0$  and define :

$$g^{(0)}(\theta_k) = \text{MVT} \left( \theta_k | \hat{\theta}_k, \mathcal{I}(\hat{\theta}_k)^{-1}, df = df^{(0)} \right)$$

Then :

- 2 Draw  $N = 500$  *i.i.d.* realizations  $\theta_{k,t}^{(i)}$  from  $g^{(t)}$  and associated weights  $\tilde{w}_{k,t}^{(i)}$ . Compute the corresponding ESS and cv values :  $ESS_t, cv_t$ .
- 3 If  $t \geq 1$  and  $ESS_t < ESS_{t-1}$ , discard weighted sample  $(\theta_{k,t}^{(i)}, \tilde{w}_{k,t}^{(i)})$  and repeat previous step.  
While  $ESS_t < 10\,000$  and  $cv_t > 2.6 \cdot 10^{-5}$  :
- 4 Increment  $t = t + 1$ . Define

$$g^{(t)}(\theta_k) = \text{MVT} \left( \theta_k | \hat{\mathbb{E}}^{(t)}[\theta_k | y, M_k], \hat{\mathbb{V}}^{(t)}[\theta_k | y, M_k], df = df^{(t)} \right),$$

where  $(\hat{\mathbb{E}}^{(t)}[\theta_k | y, M_k], \hat{\mathbb{V}}^{(t)}[\theta_k | y, M_k], df^{(t)})$  is fitted to the pooled posterior weighted sample. Then, go back to step 2



# Simple vs. Adaptive IS : ERR models

$M_k$	$N$	$ESS$	$cv$	$\log \widehat{ML}_k$ prec.	Type of IS
ERR.UNSCEAR	100 000	52 847	$9.0 \cdot 10^{-6}$	0.012	Simple
	10 500	8 319	$2.5 \cdot 10^{-5}$	0.020	Adaptive
ERR.Little	100 000	53 931	$9.0 \cdot 10^{-6}$	0.011	Simple
	14 500	10 534	$2.6 \cdot 10^{-5}$	0.020	Adaptive
ERR.Littleexp	100 000	7 495	$1.2 \cdot 10^{-4}$	0.004	Simple
	25 500	10 190	$5.9 \cdot 10^{-5}$	0.030	Adaptive
ERR.BEIR7	100 000	<b>16</b>	$6.3 \cdot 10^{-2}$	<b>1.079</b>	Simple
	63 841	10 492	$8.0 \cdot 10^{-5}$	0.035	Adaptive

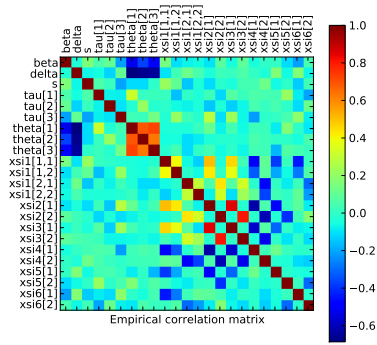
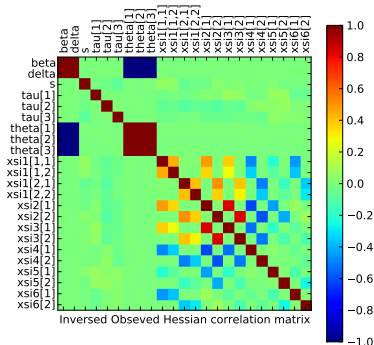
- Adaptive IS reaches a stable precision over models, with much less particles than simple IS
- However, keep in mind that many importance draws have been discarded in the adaptive scheme

# Simple vs. Adaptive IS : EAR models

$M_k$	$N$	$ESS$	$cv$	$\log \widehat{ML}_k$ prec.	Type of IS
EAR.BEIR7	100 000	<b>71</b>	$1.4 \cdot 10^{-2}$	<b>0.475</b>	Simple
	75 500	10 030	$8.6 \cdot 10^{-5}$	0.036	Adaptive
EAR.Littleexp	100 000	252	$4.0 \cdot 10^{-3}$	<b>0.248</b>	Simple
	49 500	10 140	$7.8 \cdot 10^{-5}$	0.035	Adaptive
EAR.Preston	100 000	111	$9.0 \cdot 10^{-3}$	<b>0.376</b>	Simple
	101 500	10 031	$9.0 \cdot 10^{-5}$	0.037	Adaptive
EAR.Schneider	100 000	51 421	$9.0 \cdot 10^{-6}$	0.012	Simple
	17 000	11 904	$2.5 \cdot 10^{-5}$	0.020	Adaptive
EAR.Schneiderexp	100 000	262	$3.8 \cdot 10^{-3}$	<b>0.243</b>	Simple
	66 000	24 427	$2.6 \cdot 10^{-5}$	0.020	Adaptive
EAR.UNSCEAR	100 000	40 814	$1.5 \cdot 10^{-5}$	0.015	Simple
	14 500	10 085	$3.0 \cdot 10^{-5}$	0.022	Adaptive



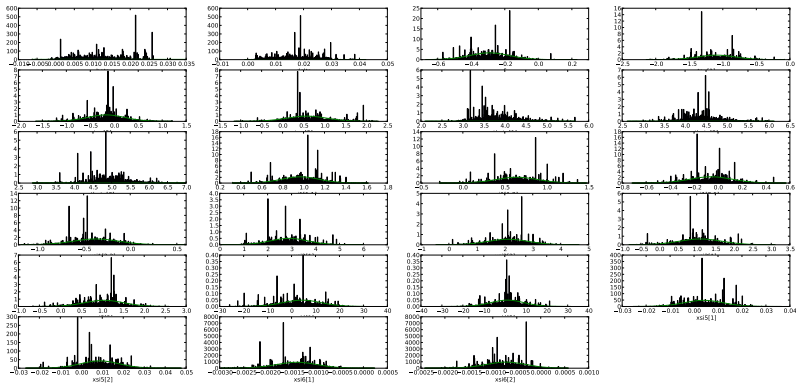
# Convergence Issues : EAR.Preston



- **left** : Inverse of observed Fisher Information matrix
  - **right** : Posterior distribution correlation matrix
  - Fisher Info matrix is singular :  $\epsilon$  added to diagonal before inversion
- results in grossly overestimated variances and correlations



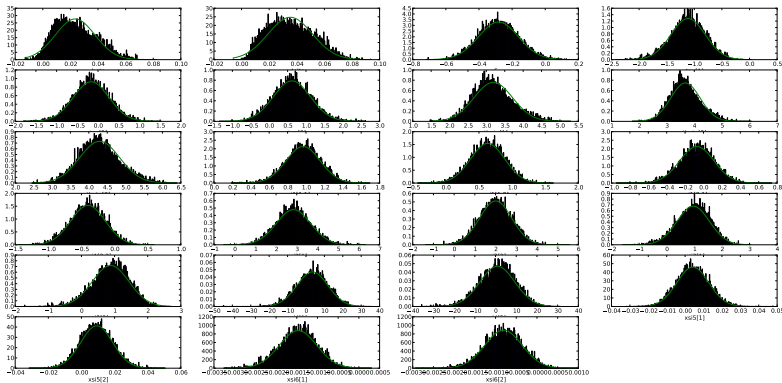
# Convergence Issues : EAR.Preston model (contd)



- Histogram of particles resampled according to importance weights
- unbalanced weights result in spikes and noisy aspect of posterior approximation



# Adaptive IS : EAR.Preston model (contd)



- Histogram of particles resampled according to importance weights
- much better posterior approximation, reveals skewed marginals for certain parameters

# AIC vs. BIC vs. posterior probabilities

$M_k$	AIC	BIC	$p(M_k y)$	AIC	BIC	$p(M_k y)$
ERR.UNSCEAR	0.608	1.0	0.988	0.612	1.0	0.988
ERR.Little	0.126	0.0	0.011	0.127	0.0	0.011
ERR.Littleexp	0.259	0.0	0.001	0.261	0.0	0.001
ERR.BEIR7	0.0	0.0	0.0	0.0	0.0	0.0
EAR.BEIR7	0.0	0.0	0.0	0.0	0.0	0.0
EAR.Littleexp	0.0	0.0	0.0	0.009	0.0	0.0
EAR.Preston	0.0	0.0	0.0	0.0	0.0	0.0
EAR.Schneider	0.004	0.0	0.0	0.572	0.0	0.0
EAR.Schneiderexp	0.003	0.0	0.0	0.396	0.0	0.0
EAR.UNSCEAR	0.0	0.0	0.001	0.023	1.0	1.0

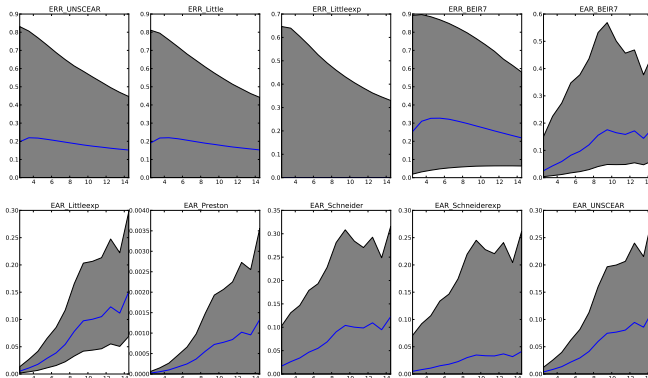
- **Left** : Weights normalized over all models
- **Right** : Weights normalized over ERR and EAR models separately

# ERR vs. EAR models

$M_k$	AIC	BIC	$p(M_k y)$
ERR.UNSCEAR	1.0	1.0	0.999
EAR.UNSCEAR	0.0	0.0	0.001
ERR.BEIR7	0.179	0.0	0.0
EAR.BEIR7	0.821	1.0	1.0
ERR.Little	0.999	0.999	0.948
EAR.UNSCEAR	0.001	0.001	0.052
ERR.Littleexp	1.0	1.0	1.0
EAR.Littleexp	0.0	0.0	0.0

- as expected, ERR models strongly outperform EAR models. . .
- . . . except for BEIR7 models. . .
- . . . which however have zero global weights compared to the other models !

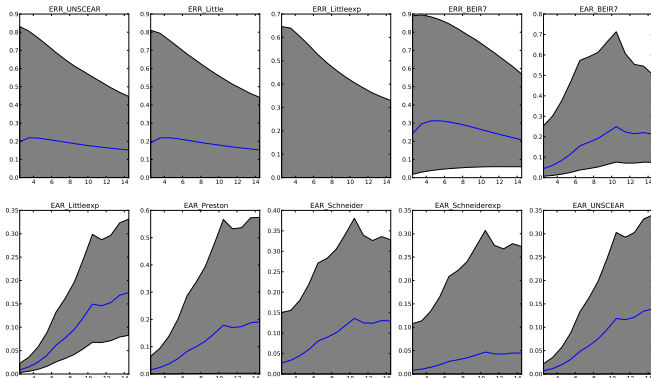
# Posterior predictive medians (in blue) and associated 95% credible intervals (in grey) of the percentage of cases of childhood leukemia over period 1990-2004 in metropolitan France for the 10 models Female, Total exposure to NBR





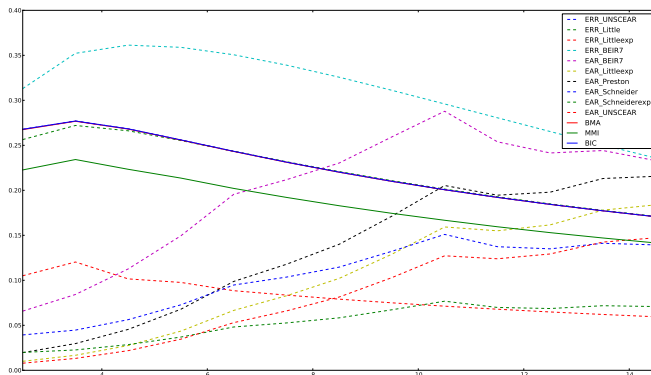
# Posterior predictive medians (in blue) and associated 95% credible intervals (in grey) of the percentage of cases of childhood leukemia over period 1990-2004 in metropolitan France for the 10 models

## Male, Total exposure to NBR





# BMA vs. MMI vs. BIC averaging estimates of the percentage of cases of childhood leukemia over period 1990-2004 in metropolitan France for French men by attained age [0-14 years-old]



## Model-averaged percentages (and 95% CI) of cases of childhood leukemia potentially attributable to radon, terrestrial gamma and cosmic rays over period 1990-2004 in metropolitan France and over childhood (from 0 to 14 years old)

Components of natural radiation	Radon	terrestrial gamma rays	cosmic rays	all 3 exposures together
<b>Males</b>				
% of attributable cases (Posterior predictive median)	5.5	11.3	6.9	20.5
95% CI	(0-36.1)	(0-53.6)	(0-42.0)	(0-67.6)
<b>Females</b>				
% of attributable cases (Posterior predictive median)	5.3	11.4	6.9	20.4
95% CI	(0-36.2)	(0-54.6)	(0-43.2)	(0-68.0)



# Conclusions (1)

- Point predictions suggest that a sizeable proportion ( 20%) of childhood leukemia cases might be attributable to radon, TGR and cosmic rays in France
  - ▶ So far, consistent with UK findings (Wakeford et al 2009)
  - ▶ BUT 95% credible intervals for predictions appear to be very large (95%CI=[0,68])
  - ▶ Results only valuable provided that radiation-related leukemia risk models can be transferred

→ Point predictions must be interpreted cautiously!

- Usual risk models uncertainty may be ignored to predict radiation-related childhood leukemia rates in a current population from LSS data → UNSCEAR 2006 ERR model strongly recommended

→ Still no way to validate risk prediction for childhood leukemia due to NBR : Data acquisition in progress in France.



